Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER 2144.0300000/RWE/JKM

U.S. APPLICATION NOT (If known, see 3 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP03/09086 18 July 2002 17 July 2003 TITLE OF INVENTION Nucleic Acids Encoding Mirafiori Lettuce Viral Proteins And Utilization Thereof APPLICANT(S) FOR DO/EO/US KAWAZÚ, Yoichi; SUGIYAMA, Keita; MORIKAWA, Toshiyuki; and SASAYA, Takahide Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. this is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). \mathbf{X} Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: See 1 in Addendum

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2



DT09 Rec'd PCT/PT0 1.8 JAN 2005

PTO-1390 (Rev. 12-2004)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER	
16 Be # 5 3 A 4 5 9 A			PCT/JP03/09086			2144.0300000/RWE/JKM	
21. The following fees are submitted: [V] a) Basic national fee \$300.00 \$ 2.00.00							
X a) Basic national fee\$300.00						300.00	
X b) Examination fee\$200.00						200.00	
X c) Search fee\$500.00						500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00						1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra sheets		eer of each additional 50 or fraction eof (round up to a whole number)				
17 - 100 =	0 /50 =		0	× \$250.0	00 \$	0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							
CLAIMS NUMBER FILED		.ED	NUMBER EXTRA	RATE			
Total claims	59-20= 39 × \$50.00		\$	1,950.00			
Independent clair	ms	1 -3= 0		x \$200.00	. \$	0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						360.00	
TOTAL OF ABOVE CALCULATIONS =						\$ 2,310.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							
SUBTOTAL =						\$ 3,310.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					1 5		
TOTAL NATIONAL FEE =						\$ 3,310.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						;	
TOTAL FEES ENCLOSED =						\$ 3,310.00	
						Amount to be efunded:	\$
						Amount to be harged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 19-0036. A duplicate copy of this sheet is enclosed.							
d. X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
CUSTOMER NUMBER 26111 Whitw. Comond							
Sterne Kessler Goldstein & Fox P.I.I.C						had W. D	
NAME P						Robert W. Esmond	
						32,893	
REGISTRATIO						NUMBER	

10/521596

Addendum Appl. No. To Be Assigned (U.S. National Phase of PCT/JP03/09086; Filed: July 17, 2003)

1. Form PCT/IB/306 (1 page); Form PCT/IB/301 (2 pages); Form PCT/IB/308 (1 page); Form PCT/IB/332 (1 page); Authorization to Treat a Reply as Incorporating an Extension of Time; Substitute Sequence Listing; Diskette containing computer readable copy of Substitute Sequence Listing; and Two (2) return postcards.

DT09 Rec'd PCT/PTO 1 8 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KAWAZU et al.

Appl. No.: To Be Assigned (U.S. National Phase of PCT/JP03/09086; Filed: July 17,

2003)

Filed: Herewith (January 18, 2005)

For: Nucleic Acids Encoding Mirafiori

Lettuce Viral Proteins and

Utilization Thereof

Confirmation No.: To Be Assigned

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket: 2144.0300000/RWE/JKM

Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. § 1.136(a)(3)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond
Attorney for Applicants

Registration No. 32,893

Date: <u>January 18, 2005</u>

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